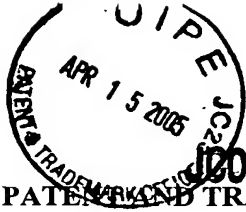


Docket No. 265265US0PCT



1003 Rec'd PCT/PTO 15 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hisao KATOU, et al.

SERIAL NO: 10/522,722 ✓

GAU:

FILED: January 28, 2005

EXAMINER:

FOR: COMPOSITION FOR HOLOGRAPHY, METHOD OF CURING THE SAME, AND CURED ARTICLE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Corwin P. Umbach, Ph.D.
Registration No. 40,211

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 265265US0PCT		SERIAL NO. 10/522,722	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hisao KATOU, et al.			
				FILING DATE January 28, 2005		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AI	99/26112	05/27/99	WO (equivalent of JP 2001-523842)			NO
	AJ	97/13183	04/10/97	WO (equivalent of JP 11-512847 & US 5759721)			NO
	AK	7-5796	01/10/95	JP			NO
	AL	2000-047552	02/18/00	JP (English abstract only)			NO
	AM	57-57487	12/04/82	JP (with English abstract)			NO
	AN	57-57488	12/04/82	JP (with English abstract)			NO
	AO	57-57489	12/04/82	JP (with English abstract)			NO
	AP	57-57490	12/04/82	JP (with English abstract)			NO
	AQ	57-57491	12/04/82	JP (with English abstract)			NO
	AR	57-57492	12/04/82	JP (with English abstract)			NO
	AS	57-133122	08/17/82	JP (with English abstract)			NO
	AT	58-021418	02/08/83	JP (with English abstract)			NO
	AU	58-160342	09/22/83	JP (with English abstract)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AV	WALDMAN, D.A. et al. "Volume Shrinkage in Slant Fringe Gratings of a Cationic Ring-Opening Holographic Recording Material", Journal of Imaging Science and Technology, vol. 41, no. 5, pages 497-514 1997					
	AW	HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Al(acac)3-Silanol Catalyst", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2185-2194 1981					
	AX	HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex-Silanol Catalysts. Part III. Dependence of Catalytic Activity on Bulkiness of Silanol and Its Intramolecular Hydrogen Bond", Journal of Polymer Science: Polymer Chemistry Edition, vol. 19, pages 2977-2985 1981					
	AY	HAYASE, S. et al. "Polymerization of Cyclohexene Oxide with Aluminum Complex/Silanol Catalysts. V. A Catalytic Activity Dependence on the Aluminum Chelate Structure", Journal of Polymer Science: Polymer Chemistry Edition, vol. 20, pages 3155-3165 1982					
	AZ	HAYASE, S., Kobunshi, vol. 35, no. 2, pages 116-119, with partial English translation 1986				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/522,722
Docket No.: 265265US0PCT

STATEMENT OF RELEVANCY

- 1) References AI-AL have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) Reference AV is discussed in the specification. A copy of this reference is being submitted here with.
- 4) References AM-AU, AW-AZ are additional prior art known to Applicant. A copy of these references is being submitted herewith.